#### Case 1:12-cv-01513-KBF Document 10 Filed 10/25/12 Page 1 of 17

### Robbins Geller Rudman & Dowd LLP

Atlanta Boca Raton Chicago Melville New York Philadelphia San Diego San Francisco Washington, DC

David A. Rosenfeld drosenfeld@rgrdlaw.com

October 24, 2012

USDC SDNY
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DATE FILEDOCT 2 5 2012

VIA HAND DELIVERY

The Honorable Katherine B. Forrest United States District Court Southern District of New York 500 Pearl Street New York, NY 10007-1312

Re:

Sinay vs. CNOOC Ltd.,

Civil Action No. 12-CV-1513



#### Dear Judge Forrest:

We represent Plaintiff Sam Sinay ("Plaintiff") and Lead Plaintiff Roofers Local No. 149 Pension Funds ("Roofers Local 149") in the above-referenced matter. In accordance with the Court's request, Lead Plaintiff respectfully submits this report to provide the Court with an update on the status of this action which is brought on behalf of all purchasers of American Depositary Shares ("ADS") of CNOOC Limited ("CNOOC") between January 27, 2011, and September 16, 2011, against CNOOC and certain of its officers and/or directors (the "Individual Defendants") for violations of the Securities Exchange Act of 1934 (this "Action").

On February 29, 2012, Plaintiff filed the complaint (the "Complaint"). On April 16, 2012, Plaintiff attempted to serve CNOOC at its Texas subsidiary, OOGC America Inc., but service was not accepted. See Affidavit of Reasonable Diligence attached hereto as Exhibit A. On April 18, 2012, Plaintiff attempted to serve CNOOC at a different address in Texas but service was again not accepted. See id.

On April 24, 2012, Plaintiff attempted to serve both CNOOC and the Individual Defendants overseas via APS International. See APS Letters attached hereto as Exhibit B. Shortly thereafter, on April 30, 2012, Roofers Local No. 149 moved the Court to: (i) appoint it as Lead Plaintiff; and (ii) approve its selection of Robbins Geller Rudman & Dowd to serve as Lead Counsel. On July 31, 2012, during the pendency of this motion, Plaintiff, through APS International, sent a letter to the Chief Secretary of Administration in Hong Kong requesting a status update on the service efforts abroad. See Ex. B. On October 4, 2012, having still received no response, APS International sent another request to the Chief Secretary of Administration in Hong Kong for a status update on the service efforts. See id.

### Robbins Geller Rudman & Dowd LLP

The Honorable Katherine B. Forrest October 24, 2012 Page 2

On September 24, 2012, Judge Barbara S. Jones granted Lead Plaintiff's motion and appointed Roofers Local No. 149 as Lead Plaintiff and approved its selection of Robbins Geller Rudman & Dowd as Lead Counsel. On October 11, 2012, a local service address for CNOOC was identified and Plaintiff served the Complaint on CNOOC at CT Corporation Systems, 111 Eighth Avenue, New York, NY, 10011. Service of process was accepted on behalf of CNOOC. See Proof of Service attached hereto as Exhibit C. Plaintiff was then informed that CNOOC selected Robert Pietrzak of Sidley Austin LLP to serve as its counsel in this Action. To date, service has not been accepted by the Individual Defendants in Hong Kong and efforts to serve them are ongoing. On October 17, 2012, this Action was re-assigned to Your Honor. Pursuant to the Court's Order, the Amended Complaint is due on November 8, 2012.

Respectfully submitted,

David A. Rosenfeld

DAR/PAF

cc: Robert Pietrzak, Esq. (Counsel for CNOOC)

Plaintiff are derected to fit proof

f service on the docket, and comply

with all other rules regarding

service.

Oct. 25, 2012

SO ORDERED:

HON. KATHERINE B. FORREST UNITED STATES DISTRICT JUDGE

Attorney or Party without Attorney:				For Court Use Only
Samuel H. Rudman, Esq.				
Robbins Geller Rudman & Dowd LLP				
58 South Service Road, Suite 200				
Melville, NY 11747				
Telephone No: 631-367-7100 FAX: No:  Autorney for: Plaintiff				
Insert name of Court, and Judicial District and Bran	ch Court:			1
United States District Court - Southern I				
Plaintiff: Sam Sinay, et al.,				
Defendant: Cnooc Limited, et al.,				
Affidavit of Reasonable	Hearing Date:	Time:	Dept/Div:	Case Number:
Diligence				12CV1513

- 1. I, Jayme Chacon, and any employee or independent contractors retained by Class Action Research & Litigation are and were on the dates mentioned herein over the age of eighteen years and not a party to this action. Personal service was attempted on Defendant CNOOC Limited as follows:
- 2. Documents: Summons in a Civil Action; Complaint; Individual Practices of Judge Barbara S. Jones; ECF Rules & Instructions.

Day	Date	Time	Location	Results
Mon	04/16/12	4:10pm	Business	Per the receptionist, this company is located in Hong Kong and they are not authorized to accept service for this company. The companies are two completely different entities. Attempt made by: Jayme Chacon. Attempt at: OOGC, America, Inc. 11700 Kate Freeway, Suite 280 Houston TX 77079.
Wed	04/18/12	4:01pm	Business	I spoke with Mr. Li and he said he has never been and is not currently the agent for this company and is not authorized to accept service on their behalf. Attempt made by: Jayme Chacon. Attempt at: c/o Jianfa Li, Agent for Service Lico International, Inc. 10777 Westheimer Rd # 840 Houston TX 77042.
Thu	04/19/12	3:00pm	Business	Returned Not Served on: CNOOC Limited Business - c/o Jianfa Li, Agent for Service Lico International, Inc. 10777 Westheimer Rd # 840 Houston, TX 77042

3. Person Executing

a. Jayme Chacon

b. Class Action Research & Litigation P O Box 740 Penryn, CA 95663

c. (916) 663-2562, FAX (916) 663-4955

Recoverable Costs Per CCP 1033.5(a)(4)(B)

d. The Fee for service was:

e. I am: (3) Not a Registered California Process Server

(Jayme Chacon) SCH-#2516 EXP. 2/28

4. I declare under penalty of perjury under the laws of the State of Texas and under the laws of the United States Of America that the foregoing is true and correct.

ffidavit of Reasonable Diligence

Date: Tue, Apr. 24, 2012

MELISSA GARCIA
Notary Public, State of Texas
My Commission Expires
June 27, 2015

4AY 1 1 2012

APS International Plaza • 7800 Glenroy Road Minneapolis, Minnesota 55439-3122

PHONE: (952) 831-7776 FAX: (952) 831-8150

## APS International, Ital.

July 31, 2012

Chief Secretary for Administration Room 321, 3/F, East Wing, Central Gov't Offices 2 Tim Mei Avenue Admiralty, Hong Kong, China

RE: Service of Process upon: CNOOC Limited

Case Number:

12 CV 1513

Dear Sir/Madam:

Documents for service of process under the <u>Hague Convention on the Service Abroad of Judicial</u> & Extrajudicial Documents in Civil or Commercial Matters, were sent to you on April 24, 2012, in the lawsuit: <u>Sinay v CNOOC Limited</u>

Since that time we have heard nothing.

We sent the following documents via Federal Express courier.

- 1) Request, Certificate/Attestation and Summary of Documents.
- 2) Two (2) copies of the documents to be served.

Federal Express confirmed receipt of the documents on April 27, 2012.

If you do not have a record of receiving the original request, please promptly respond to this letter via facsimile and we will forward a duplicate request for service to you.

•	Have the documents been served?  If so, when, where and on whom were they served?	•
3)	Where is the Certificate/Attestation and when can we expect it?	•

The United States has executed Declaration 3 to the Treaty, which adopts Paragraph 2 of Article 15 which allows for a default judgment in a United States Court, notwithstanding the absence of proof of service. In accordance with this provision, we will be requesting the United States Court to grant judgment by default against CNOOC Limited after October 31, 2012.

We have enclosed a duplicate of this letter upon which you may apply, together with an envelope addressed to us for your convenience.

Thank you for your prompt consideration.

Sincerely,

Kristin Thompsen

International Department

thompsenk@civilactiongroup.com

Fax Number: 952-831-8150

FAX: (952) 831-8150

### APS International, Ltd.

July 31, 2012

Chief Secretary for Administration Room 321, 3/F, East Wing, Central Gov't Offices 2 Tim Mei Avenue Admiralty, Hong Kong, China

RE: Service of Process upon: Yang Hua

Case Number:

12 CV 1513

Dear Sir/Madam:

Documents for service of process under the <u>Hague Convention on the Service Abroad of Judicial</u> & Extrajudicial Documents in Civil or Commercial Matters, were sent to you on April 24, 2012, in the lawsuit: **Sinay v CNOOC Limited** 

Since that time we have heard nothing.

We sent the following documents via Federal Express courier.

- 1) Request, Certificate/Attestation and Summary of Documents.
- 2) Two (2) copies of the documents to be served.

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If you do not have a record of receiving the original request, please promptly respond to this letter via facsimile and we will forward a duplicate request for service to you.

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We have enclosed a duplicate of this letter upon which you may apply, together with an envelope addressed to us for your convenience.

Thank you for your prompt consideration.

Sincerely,

Kristin Thompsen

International Department

thompsenk@civilactiongroup.com
Fax Number: 952-831-8150

FAX: (952) 831-8150

## APS International, Indi

July 31, 2012

Chief Secretary for Administration Room 321, 3/F, East Wing, Central Gov't Offices 2 Tim Mei Avenue Admiralty, Hong Kong, China

RE: Service of Process upon: Zhong Hua

Case Number:

12 CV 1513

Dear Sir/Madam:

Documents for service of process under the <u>Hague Convention on the Service Abroad of Judicial</u> & Extrajudicial Documents in Civil or Commercial Matters, were sent to you on April 24, 2012, in the lawsuit: Sinay v CNOOC Limited

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We have enclosed a duplicate of this letter upon which you may apply, together with an envelope addressed to us for your convenience.

Thank you for your prompt consideration.

Sincerely,

Kristip Thompsen

International Department

thompsenk@civilactiongroup.com

Fax Number: 952-831-8150

FAX: (952) 831-8150

## APS International, Ltd.

October 4, 2012

Chief Secretary for Administration Hong Kong SAR Government Room 321, 3/F, East Wing, Central Gov't Offices 2 Tim Mei Avenue Admiralty, Hong Kong, China

RE: Service of Process upon: CNOOC Limited

CASE NO: 12 CV 1513

#### Dear Sir/Madam:

Documents for service of process under the <u>Hague Convention on the Service Abroad of Judicial</u> & Extrajudicial Documents in Civil or Commercial Matters, were sent to you on <u>April 27, 2012</u> in the lawsuit: Sinay v CNOOC Limited

We sent the following documents via Federal Express courier.

- 1) Request, Certificate/Attestation and Summary of Documents.
- 2) Two (2) copies of the documents to be served.

We also sent a letter requesting status of service on September 5, 2012, via Federal Express.

•	Have the documents been served?  If so, when, where and on whom were they served?	•
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Page 2 of 2

The United States has executed Declaration 3 to the Treaty, which adopts Paragraph 2 of Article 15 and allows for a default judgment in a United States Court, notwithstanding the absence of proof of service. In accordance with this provision, we will be requesting the United States Court to grant judgment by default against **CNOOC Limited**, your citizen, after **November 5**, 2012.

Thank you for your prompt consideration.

Sincerely,

Kristin Thompsen

International Department

FAX: (952) 831-8150

# APS International, Ltd.

October 4, 2012

Chief Secretary for Administration Hong Kong SAR Government Room 321, 3/F, East Wing, Central Gov't Offices 2 Tim Mei Avenue Admiralty, Hong Kong, China

RE: Service of Process upon: Yang Hua

CASE NO: 12 CV 1513

#### Dear Sir/Madam:

Documents for service of process under the <u>Hague Convention on the Service Abroad of Judicial</u> & <u>Extrajudicial Documents in Civil or Commercial Matters</u>, were sent to you on <u>April 27, 2012</u> in the lawsuit: Sinay v CNOOC Limited

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Thank you for your prompt consideration.

Sincerely,

Kristin Thompsen

International Department

FAX: (952) 831-8150

## APS International, Ltd.

October 4, 2012

Chief Secretary for Administration Hong Kong SAR Government Room 321, 3/F, East Wing, Central Gov't Offices 2 Tim Mei Avenue Admiralty, Hong Kong, China

RE: Service of Process upon: Zhong Hua

CASE NO: 12 CV 1513

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Thank you for your prompt consideration.

Sincerely,

Kristin Thompsen

International Department

AO 440 (Rev. 12/09) Summons in a Civil Action

#### UNITED STATES DISTRICT COURT

for the Southern District of New York

SAM SINAY, Individually and on Behalf of All Others Similarly Situated	)	
Plaintiff	<i>)</i>	
v.	j	Civil Action No.
CNOOC LIMITED, YANG HUA and ZHONG HUA	)	
Defendant	í	

#### SUMMONS IN A CIVIL ACTION

To: (Defendant's name and address) CNOOC Limited

65th Floor, Bank of China Tower

One Garden Road Central,

Hong Kong

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) --- or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff's attorney,

Date: FED 2 9 2012

whose name and address are: Robbins Geller Rudman & Dowd LLP 58 South Service Road, Suite 200 Melville, NY 11747 Telephone: 631/367-7100 631/367-1173 (fax)

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

RUBY J. KRAJICK

Signature of Clerk or Deputy Clerk

AO 440 (Rev. 12/09) Summons in a Civil Action (Page 2)				
Civil Action No.				
P (This section should not be filed	ROOF OF SERVI		R. Civ. P. 4 (l))	
This summons for (name of individual and title was received by me on (date)	e, ifany) <u>CN</u> O	OC Limit	-ed	
☐ I personally served the summons on th	e individual at (place	on (date)	; or	
☐ I left the summons at the individual's i	regidence or usual n	· · · · · · · · · · · · · · · · · · ·		
i left the summons at the individual 5	_		ion who resides there	
on (date), and mail	H. Oyel			,
	Aix	There		, who is
designated by law to accept service of pro	ocess on behalf of m C Limited	name of organization)	C+ CORP	- 3:07pm
O I returned the summons unexecuted be	cause			; or
☐ Other (specify):	., . , .,			***************************************
				•
My fees are \$ for travel	and \$	for services, for	a total of \$ 0	.00
I declare under penalty of perjury that this	information is true			
Date: 10/12/12	) (	Server's signa	uture	NN 4
	DANIEL	Dicarlo	Process S	erver
		Printed name at LOWER LEV 859 MERSICK EAST MEADOW, N.	el. a eg	
		Server's addi		

Additional information regarding attempted service, etc: